



PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/769,216

Filing Date

January 30, 2004

First Named Inventor

Lai, Canfeng

Art Unit

1763

Examiner Name

Alejandro Mulero, Luz L.

Attorney Docket Number

A5152D5/T39550

**ENCLOSURES** (Check all that apply)

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                             |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences      |
| <input checked="" type="checkbox"/> Amendment/Reply                       | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):          |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Request for Refund   | Response to Notice of Non-Compliant Amendment  |
| <input type="checkbox"/> Information Disclosure Statement                 | <input type="checkbox"/> CD, Number of CD(s) _____                                      | Return Postcard  |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <b>Remarks</b>  | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Patrick M. Boucher

Date

January 21, 2005

Reg. No.

44,037

**CERTIFICATE OF TRANSMISSION/MAILING**

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Nina L. McNeill

Date

January 21, 2005



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Atty Docket No. A5152D5/T39550  
Client Ref. No. 005152 D5  
USA/DSM/HDP/CVD/JW  
TTC Ref. No. 016301-039550US

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By: Nina L. McNeill  
Nina L. McNeill

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Canfeng Lai, et al.

Application No.: 10/769,216

Filed: January 30, 2004

For: MULTI-CORE TRANSFORMER  
PLASMA SOURCE

Customer No. 20350

Confirmation No. 9570

Examiner: Alejandro Mulero, Luz L.

Technology Center/Art Unit: 1763

RESPONSE TO NOTICE OF NON-  
COMPLIANT AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

In response to the Notice of Non-Compliant Amendment mailed by the Patent and Trademark Office on December 22, 2004 for the above-identified patent application, attached is a substitute Preliminary Amendment that contains a complete listing of all of the claims. Applicants believe this Preliminary Amendment is now compliant.

Canfeng Lai et al.  
Application No.: 10/769,216  
Page 2

PATENT

Respectfully submitted,

  
Patrick M. Boucher  
Reg. No. 44,037

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Alexandria, VA 22313-1450

By: Anna L. McNeil

PATENT  
Atty Docket No.: A5152D5/T39550  
Client Ref. No.: 005152 D5  
USA/DSM/HDP/CVD/JW  
TTC Ref. No. 016301-039550

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Canfeng Lai, et al.

Application No.: 10/769,216

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Technology Center/Art Unit: 1763

PRELIMINARY AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application and in response to the Notice of Non-Compliant Amendment mailed December 22, 2004, please enter the following amendments and remarks:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 5 of this paper.